

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

35.C12549

Total Pages

76

First Named Inventor or Application Identifier

MITSUO NIIDA ET AL.

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

2. ☒ Specification Total Pages 59

3. ☒ Drawing(s) (35 USC 113) Total Sheets 11

4. ☐ Oath or Declaration Total Pages  
a. ☐ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
(Note Box 5 below)

i. ☐ DELETION OF INVENTOR(S)  
Signed Statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b, is  
considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer Readable Copy  
b. ☐ Paper Copy (identical to computer copy)  
c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))  
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney  
10. ☐ English Translation Document (if applicable)  
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations  
12. ☐ Preliminary Amendment  
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)  
14. ☐ Small Entity ☐ Statement filed in prior application  
Statement(s) Status still proper and desired  
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)  
16. ☒ Other: Transmittal Letter Under 37 C.F.R. § 1.53 and  
M.P.E.P. 601.01

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_/\_\_\_\_

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

NAME

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	<b>TOTAL CLAIMS</b> (37 CFR 1.16(c))	42-20 =	22	X \$ 22.00 =	\$484.00
	<b>INDEPENDENT CLAIMS</b> (37 cfr 1.16(b))	7-3 =	4	X \$ 82.00 =	\$328.00
	<b>MULTIPLE DEPENDENT CLAIMS</b> (if applicable) (37 CFR 1.16(d))			\$270.00 =	\$
				<b>BASIC FEE</b> (37 CFR 1.16(a))	\$790.00
	Total of above Calculations =				\$1,602.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				
	<b>TOTAL =</b>				\$1,602.00

19. Small entity status

- a. ☐ A Small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

20. ☒ A check in the amount of \$ 1,602.00 to cover the filing fee is enclosed.

21. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☐ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

NAME	Abigail F. Cousins, Registration No. 29,292
SIGNATURE	<i>Abigail Cousins</i>
DATE	February 11, 1998

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
: Examiner: Unassigned  
MITSUO NIIDA ET AL. )  
: Group Art Unit: Unassigned  
Application No.: Unassigned )  
:   
Filed: Herewith )  
:   
For: COMMUNICATION APPARATUS )  
AND COMMUNICATION )  
METHOD ) Date: February 11, 1998

Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER UNDER 37 C.F.R. § 1.53  
AND M.P.E.P. § 601.01

Sir:

Enclosed herewith for filing is a specification under 37 C.F.R. § 1.71; claims under 37 C.F.R. § 1.75; and drawings under 37 C.F.R. § 1.81 for a patent application for COMMUNICATION APPARATUS AND COMMUNICATION METHOD on behalf of the inventors under 37 C.F.R. § 1.41(c), MITSUO NIIDA, having a post office address of 190-1-413, Setogaya-cho, Hodogaya-ku, Yokohama-shi, Kanagawa-ken, Japan; SHINICHI HATAE, having a post office address of 817-76-305, Ogura, Saiwai-ku, Kawasaki-shi, Kanagawa-ken, Japan.

Priority is based upon Japanese Patent Application Nos. 9-030281 filed on February 14, 1997; 9-030286 filed on

09022979-021298  
852120-6262060

February 14, 1997; 10-028416 filed on February 10, 1998; and  
10-028417 filed on February 10, 1998.

The undersigned is authorized to file the subject  
application on behalf of the inventor.

Applicants' undersigned attorney may be reached in  
our New York office by telephone at (212) 758-2400. All  
correspondence should be directed to our below listed  
address.

Respectfully submitted,

Abigail Cousins  
Attorney for Applicants

Registration No. 29,292

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